

## Audit Report (For eSign Application Service Provider)

ASP Organization name: \_\_\_\_\_

ASP has access to UIDAI's eKYC data, and wants to use Pre-verified mode of eSign:	<input type="checkbox"/> Yes <input type="checkbox"/> No
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KYC Authentication Mode:	OTP	<input type="checkbox"/> Yes <input type="checkbox"/> NA	Biometric (Fingerprint/IRIS)	<input type="checkbox"/> Yes <input type="checkbox"/> NA
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SI No	Audit Parameter	Complied
1.	The communication between ASP and ESP should be Digitally Signed and encrypted.	<input type="checkbox"/> Yes <input type="checkbox"/> No
2.	Communication line between ASP and ESP should be secured. It is strongly recommended to have leased lines or similar secure private lines between ASP and ESP. If a public network is used, a secure channel such as SSL should be deployed.	<input type="checkbox"/> Yes <input type="checkbox"/> No
3.	ASP should have a documented Information Security policy in line with security standards such as ISO 27001.	<input type="checkbox"/> Yes <input type="checkbox"/> No
4.	Compliance review of controls as per Information security policy.	<input type="checkbox"/> Yes <input type="checkbox"/> No
5.	ASPs should follow standards such as ISO 27000 to maintain Information Security.	<input type="checkbox"/> Yes <input type="checkbox"/> No
6.	Compliance to prevailing laws such as IT Act 2000 should be ensured.	<input type="checkbox"/> Yes <input type="checkbox"/> No
7.	Software to prevent malware/virus attacks may be put in place and anti-virus software installed to protect against viruses. Additional network security controls and end point authentication schemes may be put in place.	<input type="checkbox"/> Yes <input type="checkbox"/> No
8.	Resident consent process must be implemented to obtain consent for every transaction carried out. The user must be asked for willingness to sign it and consent form should be stored.	<input type="checkbox"/> Yes <input type="checkbox"/> No
9.	Application Security Assessment of the ASP by Cert-in empanelled auditor.	<input type="checkbox"/> Yes <input type="checkbox"/> No
10.	ASP data logging for audit purposes provisioned.	<input type="checkbox"/> Yes <input type="checkbox"/> No
11.	ASP should not delegate any obligation to external organizations or applications.	<input type="checkbox"/> Yes <input type="checkbox"/> No

### Auditor information:

<p>Organization: _____</p> <p>Address: _____</p> <p>_____</p> <p>_____</p> <p>Contact No: _____</p> <p>Email ID: _____</p>	<p><b>Auditor Seal and Sign:</b></p>  <p>Name: _____</p> <p>Date: _____</p> <p>Registration No: <input type="checkbox"/> CERT-IN _____</p>
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